

DATE: April 11, 2019

CIRCULARS

RBI

- No New Circular

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- Submission of Half Yearly Networth Certificate
- Applicability of Additional Surveillance Measure (ASM)
- Applicability of Short Term Additional Surveillance Measure (ST-ASM)
- Withdrawal of trading rights of Member
- List of securities moving to Stage II of Graded Surveillance Measure (GSM)
- Collection of Broker Turnover Stamp Duty by Agency on Transaction of Security
- Collection of Broker Turnover Stamp Duty by Agency on Transaction of Security
- Non Neat Systems – System Audit of Stock Brokers / Trading Members
- SEBI order in the matter of Bhabiswajyoti Infrastructure India Limited (BIIL)
- SEBI order in the matter of Bhabiswajyoti Infrastructure India Limited (BIIL)
- Transaction charges for Commodity Derivatives Segment

BSE

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- [Download of Penalty Report for modification of Client Codes of non-institutional trades \(All Segments\)](#)
- [Charges for trading with unregistered UCC/UCC without PAN for the month of March, 2019](#)
- [Reminder to submit details on "Reporting for Artificial Intelligence \(AI\) and Machine Learning \(ML\) applications and system offered and used by market intermediaries.](#)
- [Trading Holiday on account of General Elections \(Lok Sabha\) for constituencies in Mumbai on April 29, 2019- Mutual Fund Segment](#)
- [Applicability of Additional Surveillance Measure](#)
- [Admission of Member in Cash, Equity Derivative, Currency Derivative & Commodity Derivative Segments](#)

MCX

- [Internal Audit of Members of the Exchange](#)
- [Warehouse for Delivery of Cotton Bales](#)
- [Revised List of Approved Securities, Approved Commodities and Approved Banks](#)
- [SAT Order in the matter of trading in Mentha Oil Contracts at Multi Commodity Exchange of India](#)

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- [Risk-based capital and net worth requirements for Clearing Corporations under Securities Contracts \(Regulation\) \(Stock Exchanges and Clearing Corporations\) Regulations, 2018](#)
- [Order in respect of Bhabiswajyoti Infrastructure India Limited](#)

- [Adjudication Order in respect of 4 entities in the matter of Nikhara Bharath Construction Company Limited](#)
- [Adjudication Order in respect of City Gold Entertainment Limited in the matter of dealing in illiquid Stock Options at BSE](#)
- [Order in the matter of Nikhara Bharath Construction Company Limited](#)
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- [2019-0022-Policy-Amendments to Bye Laws and Business Rules of NSDL](#)
- [2019-0021-Policy-Updation of IFSC and MICR codes pursuant to merger of S](#)
- [2019-0022-Policy-Annexure B - Business Rules](#)
- [2019-0022-Policy-Annexure A - Bye Law](#)
- [2019-0023-Policy-Amendments to Business Rules of NSDL](#)

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- [Submission of Half Yearly Net worth Certificate as on March 31, 2019](#)
- [Application for Surrender of membership](#)
- [Settlement Price of Options contract expired on April 10 2019](#)
- [Framework for Determination of Final Settlement Price \(FSP\) in case of Unavailability of Polled Spot Price on Expiry Day](#)
- [Settlement Price of Refined Soy Oil Option Contract expired on April 10, 2019](#)

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